

**UTILITY PATENT  
APPLICATION TRANSMITTAL**(Only for new non-provisional applications  
under 37 CFR 1.53(b))

Check Box, if applicable [ ] Duplicate

Attorney Docket No.

**021052D**

Total Pages

First Named Inventor or Application Identifier

**Masaya TADANO, Haruhisa YAMASAKI, Kenzo  
MATSUMOTO, Dai MATSUURA, Kazuya SATO,  
Takayasu SAITO, Toshiyuki EBARA, Satoshi IMAI,  
Atsushi ODA, Takashi SATO and Hiroyuki MATSUMORI**

Express Mail Label No.

**APPLICATION ELEMENTS FOR: COMPRESSOR,  
METHOD FOR MANUFACTURING THE  
COMPRESSOR, DEFROSTER OF REFRIGERANT  
CIRCUIT, AND REFRIGERANT UNIT**ADDRESS TO: Commissioner for Patents  
MAIL STOP BOX PATENT APPLICATIONS  
P.O. Box 1450  
Alexandria, VA. 22313-1450

1. ☒ Fee Transmittal Form (Incorporated within this form)  
(Submit an original and a duplicate for fee processing)
2. ☒ Specification Total Pages **[182]**
3. ☒ Drawing(s) (35 USC 113) Total Sheets **[32]**
4. ☒ Oath or Declaration Total Pages **[4]**
  - a. [ ] Newly executed (original)
  - b. ☒ Copy from prior application (37 CFR 1.63(d)  
(for continuation/divisional with Box 17 completed).
  - i. [ ] Deletion of Inventor(s)  
Signed statement attached deleting inventor(s) named in prior application,  
see 37 CFR 1.63(d)(2) and 1.33(b).
5. ☒ Incorporation by reference (useable if box 4b is checked)  
The entire disclosure of the prior application, from which a copy of the oath or declaration is  
supplied under Box 4b, is considered as being part of the disclosure of the accompanying  
application and is hereby incorporated by reference therein.
6. [ ] Microfiche Computer Program (Appendix)
7. [ ] Nucleotide and/or Amino Acid Sequence Submission (if applicable, all necessary)
  - a. [ ] Computer Readable Copy
  - b. [ ] Paper Copy (identical to computer copy)
  - c. [ ] Statement Verifying identity of above copies

**ACCOMPANYING APPLICATION PARTS**

8. ☒ Assignment Papers (cover sheet and document(s)) to: **SANYO ELECTRIC CO., LTD., Moriguchi-shi, Japan**  
has been recorded at Reel **013568**, Frame **0078** on **December 10, 2002** .  
**Please publish the assignee data with the application.**
9. [ ] 37 CFR 3.73(b) Statement (when there is an assignee) ☒ Power of Attorney

# UTILITY PATENT APPLICATION TRANSMITTAL

(Only for new nonprovisional applications  
under 37 CFR 1.53(b))

Attorney Docket No.

021052D

First Named Inventor or Application Identifier

**Masaya TADANO, Haruhisa YAMASAKI, Kenzo MATSUMOTO, Dai MATSUURA, Kazuya SATO, Takayasu SAITO, Toshiyuki EBARA, Satoshi IMAI, Atsushi ODA, Takashi SATO and Hiroyuki MATSUMORI**

PAGE 2 OF 3

10. ☐ English translation Document (if applicable)
11. ☒ Information Disclosure Statement ☒ Copies of IDS Citations
12. ☒ Preliminary Amendment
13. ☒ Return Receipt Postcard (MPEP 503)
14. ☐ Small Entity Status is claimed.
15. ☒ Claim for Convention Priority ☐ Certified copies of Priority Documents
- a. Priority of Japan application nos. 2001-295634/2001 filed on September 27, 2001; 2001-295654 filed on September 27, 2001; 2001-295663 filed on September 27, 2001; 2001-295673 filed on September 27, 2001; 2001-295678 filed on September 27, 2001; 2001-295859 filed on September 27, 2001; 2001-295866 filed on September 27, 2001; 2001-296165 filed on September 27, 2001; 2001-296180 filed on September 27, 2001; 2001-311699 filed on October 9, 2001; 2001-311702 filed on October 9, 2001; 2001-315687 filed on October 12, 2001; 2001-319401 filed on October 17, 2001; 2001-319419 filed on October 17, 2001; 2001-323757 filed on October 22, 2001; 2001-323769 filed on October 22, 2001; 2001-327809 filed on October 25, 2001; 2001-327817 filed on October 25, 2001; 2001-332796 filed on October 30, 2001; and 2001-366208 filed November 30, 2001; is claimed under 35 USC 119. The certified copies have been filed in prior application Serial No. 10/225,442. (For Continuing Applications, if applicable).
16. ☐ Other \_\_\_\_\_
17. If a CONTINUING APPLICATION, check appropriate box and supply the requisite information:  
☐ Continuation ☒ Division ☐ Continuation-in-part (CIP) of prior application no. 10/225,442

FEE TRANSMITTAL The filing fee is calculated below	Number Filed	Number Extra	Rate	Basic Fee
				770.00
Total Claims	10 - 20	--	x \$18.00	--
Independent Claims	7 - 3	4	x \$86.00	344.00
Multiple Dependent Claims			\$290.00	--
Filing Fee				1,114.00
Reduction by 1/2 for small entity				--
Fee for recording enclosed Assignment			\$40.00	--
TOTAL				1,114.00

**UTILITY PATENT  
APPLICATION TRANSMITTAL**

(Only for new nonprovisional applications  
under 37 CFR 1.53(b))

Attorney Docket No.

**021052D**

First Named Inventor or Application Identifier

**Masaya TADANO, Haruhisa YAMASAKI, Kenzo  
MATSUMOTO, Dai MATSUURA, Kazuya SATO,  
Takayasu SAITO, Toshiyuki EBARA, Satoshi IMAI,  
Atsushi ODA, Takashi SATO and Hiroyuki MATSUMORI**

**PAGE 3 OF 3**

☒ [XX] A check in the amount of **\$1,114.00** is enclosed to cover the filing fee.

☐ [ ] Please charge our Deposit Account No. **01-2340** in the total amount of \_\_\_\_\_ to cover the filing fee and the \_\_\_\_\_ assignment recordation fee. A duplicate of this sheet is attached.

☒ [XX] The Commissioner is hereby authorized to charge payment for any additional filing fees required under 37 CFR 1.16 or credit any overpayment to Deposit Account No. **01-2340**.

**18. CORRESPONDENCE ADDRESS:**

Armstrong, Kratz, Quintos,  
Hanson & Brooks, LLP  
Suite 1000, 1725 K Street, N.W.  
Washington, D. C. 20006  
Tel: (202) 659-2930



**23850**

PATENT TRADEMARK OFFICE

Typed or Printed Name **Mel R. Quintos** Reg. No. **31,898**

Signature

Date: **March 2, 2004**